



JOE LOMBARDO
Governor

CANNABIS COMPLIANCE BOARD STATE OF NEVADA

ccb.nv.gov
CARSON CITY OFFICE
1550 College Parkway, Suite 142
Carson City, Nevada 89706
Main Line: (775) 687-6299

LAS VEGAS OFFICE
700 East Warm Springs Road, Suite 100
Las Vegas, Nevada 89119

ADRIANA GUZMÁN FRALICK
Chair

TYLER KLIMAS
Executive Director

Notice of Transfer of Interest

INSTRUCTIONS: Complete the checklist and all required information. Incomplete applications will be denied. Attach copies of all documents involved in the proposed transfer of interest, i.e., notes, agreements, corporate minutes, etc. (If additional space is necessary, attach a separate schedule.) The proposed transfer **MAY NOT BE EFFECTED** until approved by the Nevada Cannabis Compliance Board (CCB). The Board may request additional information. Questions: CCBLicensing@ccb.nv.gov.

SUBMISSION: Transferor will upload Notice and all supporting documents in Accela. Only one submission is needed, even if the transfer applies to multiple licenses.

Tab	Please include establishment ID, name, and date of request on all documents.	✓
I	Complete Notice of Transfer of Interest documents in this packet. Current ownership percentages in Section III, Sub. 1, “Prior to Transfers” must match ownership percentages on record with the Board. Proposed ownership percentages in Section III, Sub. 1, “Subsequent to Transfer” must total 100 percent.	
II	If one individual/entity has authority to legally act on behalf of all owners, include documentation signed by all owners authorizing transfer of authority to that one individual.	
III	Agent cards and background checks up to date for owners, officers, and board members. Each officer and board member must have current agent cards. Owners with over 5 percent interest must have agent cards. Waivers must be submitted for owners with less than 5 percent interest and no control over business operations who wish to waive the agent card requirement.	
IV	Original, notarized signatures of current and proposed owners.	
V	Organizational chart of new ownership structure including officers and board members. Ownership percentages must be specified in the organizational chart and equal 100 percent.	
VI	Ensure all transferors and transferees are in compliance with CCB and Department of Taxation rules and regulations, including but not limited to, returns, liabilities, and debts.	
VII	If changing Point of Contact (POC), include POC change form from www.ccb.nv.gov . If not, write N/A.	
VIII	Include a “No Monopoly Attestation Letter” drafted by the applicant and signed by all the proposed owners stating that the proposed transfer will not result in any one person, group, or entity owning more than 10 percent of the cannabis establishments allocable in the jurisdiction.	
IX	Include all legal contracts / agreements detailing ownership transaction(s).	

SECTION I: Transferee Information

INSTRUCTIONS: To be completed by Transferee. Include all establishment 20-digit license numbers to which this transfer applies. Attach multiple copies of this form if necessary.

20-Digit License/Certificate Number	Company Name Establishment	Code (ex: C901)

CHECK ONE:

Partnership Corporation Limited Liability Co. Limited Partnership Other

1. Name of Company:	
2. City/County Business License Numbers:	
3. Secretary of State Business Registration Number:	
4. State Business License Number:	
5. Full Name(s) of Transferee (to whom interest will transfer):	
6. Residence Address:	Contact Phone:
7. Percentage to be Acquired:	No. of Shares/Units:

Section II: Transferor Information

INSTRUCTIONS: To be completed by Transferor.

1. Full Name of Transferor (from whom interest will transfer):	
2. Residence Address:	Contact Phone:
3. Percentage to be Transferred:	No. of Shares/Units:
4. Upon consummation of proposed Transfer of Interest, state your position and responsibilities:	
5. Briefly explain the reason for the transfer:	

Section III: Schedule of Ownership

INSTRUCTIONS: List below the ownership of the licensed business as it is BEFORE and will be AFTER the proposed Transfer of Interest is effected. (If additional space is needed, please use a continuation page):

Prior to Transfer:

Name	% Held	No. of Shares/Units
TOTAL:		

Subsequent to Transfer:

Name	% Held	No. of Shares/Units
TOTAL:		

Total number of Shares Authorized: _____ **Number of Shares Issued:** _____

Section IV: Notary

_____, being first duly sworn, depose and say:
(Printed name of TRANSFEREE)

I have read the foregoing document entitled NOTICE OF TRANSFER OF INTEREST and know the contents thereof, and that the information contained in this application is true of my own knowledge and information. I affirm and certify that I am legally authorized to act for and bind TRANSFEREE.

TRANSFEREE (signature) DATE

STATE OF _____

COUNTY OF _____

SUBSCRIBED AND SWORN before me this _____ day
of _____.

Notary Public

_____, being first duly sworn, depose and say:
(Printed name of TRANSFEROR)

I have read the foregoing document entitled NOTICE OF TRANSFER OF INTEREST and know the contents thereof, and that the information contained in this application is true of my own knowledge and information. I affirm and certify that I am legally authorized to act for and bind TRANSFEROR.

TRANSFEROR (signature) DATE

STATE OF _____

COUNTY OF _____

SUBSCRIBED AND SWORN before me this _____ day
of _____.

Notary Public